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Dated: April 29, 2009 Signature: Joseph W. Ragusa  
(Joseph W. Ragusa)

Docket No.: E3331.0601  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
James Sinclair et al.

Application No.: 10/694,758

Confirmation No.: 5750

Filed: October 29, 2003

Art Unit: 3693

For: TRADING SYSTEM

Examiner: Jessica Lemieux

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Madam:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated October 29, 2008, please amend the above-identified U.S. patent application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 24 of this paper.